

Division of Licensing and Protection
103 South Main Street, Ladd Hall
Waterbury VT 05671-2306
<http://www.dail.vermont.gov>
Voice/TTY (802) 871-3317
To Report Adult Abuse: (800) 564-1612
Fax (802) 871-3318

February 12, 2013

Judy Peterson, Administrator
Visiting Nurse Association
1110 Prim Road
Colchester, VT 05446

Provider ID #:477000

Dear Ms. Peterson:

Enclosed is a copy of your acceptable plans of correction for the survey and complaint investigation conducted on **January 3, 2013**.

Follow up may occur to verify that substantial compliance has been achieved and maintained.

Sincerely,



Pamela M. Cota, RN
Licensing Chief

PC:ne

Enclosure - STATE FORM



Division of Licensing and Protection

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: VT477000	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____	(X3) DATE SURVEY COMPLETED C 01/03/2013
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NAME OF PROVIDER OR SUPPLIER VISITING NURSE ASSOCIATION	STREET ADDRESS, CITY, STATE, ZIP CODE 1110 PRIM ROAD COLCHESTER, VT 05446
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
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H 001	Initial Comments An unannounced, on-site complaint investigation was conducted by the Division of Licensing and Protection on 01/03/2013. The following State deficiencies were identified:	H 001		
H 511 SS=D	5.1 Requirements for Operation V. Requirements for Operation 5.1 A home health agency shall not employ or have a contract with any direct-care personnel without satisfactory results from the Adult Abuse Registry and the Child Abuse Registry and without having conducted a Vermont criminal record check in compliance with the Department's background check policy. This REQUIREMENT is not met as evidenced by: Based on personnel record review and staff interview, the agency failed to be in compliance with State regulations that require staff not be hired until satisfactory background checks be received from the Adult and Child Registry, or from the criminal data base. 33 V.S.A. Chapter 63, Subchapter 1A, and 18 V.S.A. Chapter 21, V. "Requirements for Operation", 5.1 states: "A home health agency shall not employ or have a contract with any direct-care personnel without satisfactory results from the Adult Abuse Registry and the Child Abuse Registry and without having conducted a Vermont criminal record check in compliance the Department's background check policy." Findings include: Per review of personnel records on 01/03/2013 at 12:20 pm, the agency failed to obtain background checks from the Child Abuse	H 511	H 511 - Background Checks 1. Design a tracking tool and process to verify that all background checks requested from the State of Vermont have been received and filed. Person responsible: Susan Anderson-Brown, Director Human Resources 2. Provide staff education to all Human Resource Staff on background check process. Person responsible: Susan Anderson-Brown, Director Human Resources 3. Establish audit system to verify that all employees have completed background checks in the file or are on a follow-up list. Person Responsible: Michael Garrett, Manager of Quality & Education	2-15-13 3-1-13 3-1-13

Division of Licensing and Protection
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE
Michael S. Garrett

TITLE *Compliance Officer* (X6) DATE *1-31-13*

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Division of Licensing and Protection

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H 511	Continued From page 1 Registry for 3 of 16 employees. Further review reflects that one of these 3 employees hired on May 7, 2012 did not have evidence of the Adult Abuse Registry or a criminal background check. This is confirmed during interview with Agency staff on 01/03/2013 at 1:30 pm. Staff further report (and this is evident in the personnel file for Employee # 3) that the agency identified that these forms were missing during a self-audit conducted on 11/07/2012 and that the background checks have not been completed to date. S/he indicates that the agency has no tracking system in place to follow-up after requests are sent out so that the agency knows when they are returned.	H 511		