

Division of Licensing and Protection  
103 South Main Street, Ladd Hall  
Waterbury, VT 05671-2306  
<http://www.dail.vermont.gov>  
Voice/TTY (802) 871-3317  
To Report Adult Abuse: (800) 564-1612  
Fax (802) 871-3318

June 26, 2014

Mr. James MacDonald, Administrator  
Second Spring  
118 Clark Road  
Williamstown, VT 05679-9449

Dear Mr. MacDonald:

Enclosed is a copy of your acceptable plans of correction for the survey conducted on **April 23, 2014**. Please post this document in a prominent place in your facility.

We may follow-up to verify that substantial compliance has been achieved and maintained. If we find that your facility has failed to achieve or maintain substantial compliance, remedies may be imposed.

Sincerely,



Pamela M. Cota, RN  
Licensing Chief

PC:jl

Division of Licensing and Protection

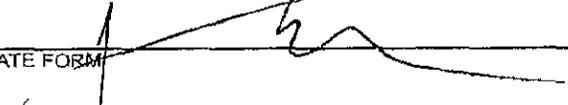
STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  0386	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____  B. WING: _____	(X3) DATE SURVEY COMPLETED  C 04/23/2014
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NAME OF PROVIDER OR SUPPLIER  SECOND SPRING	STREET ADDRESS, CITY, STATE, ZIP CODE 118 CLARK ROAD WILLIAMSTOWN, VT 05679
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
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R100	Initial Comments:  An onsite complaint investigation was conducted on 4/9/14 and completed on 4/23/14 by the Division of Licensing and Protection. The following regulatory violation was identified:	R100		
R181 SS=D	V. RESIDENT CARE AND HOME SERVICES  5.11 Staff Services  5.11.d The licensee shall not have on staff a person who has had a charge of abuse, neglect or exploitation substantiated against him or her, as defined in 33 V.S.A. Chapters 49 and 69, or one who has been convicted of an offense for actions related to bodily injury, theft or misuse of funds or property, or other crimes inimical to the public welfare, in any jurisdiction whether within or outside of the State of Vermont. This provision shall apply to the manager of the home as well, regardless of whether the manager is the licensee or not. The licensee shall take all reasonable steps to comply with this requirement, including, but not limited to, obtaining and checking personal and work references and contacting the Division of Licensing and Protection in accordance with 33 V.S.A. §6911 to see if prospective employees are on the abuse registry or have a record of convictions.  This REQUIREMENT is not met as evidenced by: Based on interview and record review the RCH had on staff one individual with criminal convictions and failed to obtain a variance from the Division of Licensing and Protection for 1 of 3 staff. Findings include:  During review of personnel records on 4/9/14 of	R181	<i>See Attached</i>	

Division of Licensing and Protection LABORATORY DIRECTOR'S DR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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STATE FORM  6/10/14 8899 8ET911 If continuation sheet 1 of 2

*R181 POC accepted 6/19/14 Fmdntosh R/M*

Division of Licensing and Protection

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>0386</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____  B. WING: _____	(X3) DATE SURVEY COMPLETED  <b>C</b> <b>04/23/2014</b>
NAME OF PROVIDER OR SUPPLIER  <b>SECOND SPRING</b>		STREET ADDRESS, CITY, STATE, ZIP CODE <b>118 CLARK ROAD WILLIAMSTOWN, VT 05679</b>		
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R181	Continued From page 1  staff presently employed by the RCH, one of the 3 records reviewed found criminal checks provided by the Vermont Criminal Information Center identified that one employee had positive Conviction Reports. Per interview on the afternoon of 4/9/14, the acting RCH manager confirmed the evidence of positive criminal reports and the failure to request a variance from the Division of Licensing and Protection to retain the employee despite their criminal convictions.	R181		

**Second Spring South Plan of Correction**  
**Complaint Investigation**  
**4-23-14**

Deficiency and Corrective Action	How Monitored	Person Responsible	Completion Date
<p>1. R181, 5.11 Staff Services 5.11.d            Deficiency: "The RCH had on staff one individual with criminal convictions and failed to obtain a variance from the DLP for 1 of 3 staff reviewed."            Corrective Action: RCH will establish written policy and procedures to ensure that all background checks are reviewed and signed off by the Executive Director of the corporation and any variances are obtained from DLP for any new hires identified under the RCH regulations as needing approval by the DLP. The RCH will submit request for variance immediately for the one employee cited and he will not return to work until DLP approves his eligibility to work in the RCH.</p>	<p>1. Operations Officer and HR Director will establish policies and procedures and implement immediately to ensure this requirement is met. Executive Director will sign off on all background checks.</p>	<p>1. Operations Officer, HR Director, CSC Executive Director</p>	<p>1. 7-11-14</p>